

08/22/01



jc950 U.S. PTO

08/24/01

Attorney Registration No. 52200-8006.US01

A Box 522

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**Certification under 37 CFR \$1.10 (if applicable)**

EL 889 535 346 US  
 Express Mail Label Number

August 22, 2001  
 Date of Deposit

I hereby certify that this Transmittal Letter, enclosed application and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR \$1.10 on the date above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer L. Mahoney  
 (Print Name of Person Mailing Application)

Jennifer Mahoney  
 Signature of Person Mailing Application



jc986 U.S. PTO

**Transmittal of Continuation Patent Application**  
**for Filing Under 37 CFR \$1.53(b)**

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir: Transmitted herewith for filing is a patent application by inventor(s):  
 Leon V. Rudakov, Mir A. Imran, Linh Dinh, Ara Davidian, and Kevin T.  
 Larkin, entitled:

**COMPOSITE EXPANDABLE DEVICE WITH POLYMERIC COVERING AND  
 BIOACTIVE COATING THEREON, DELIVERY APPARATUS AND METHOD**

1. Enclosed are:

- ☒ One stamped, self-addressed postcard for PTO datestamp
- ☒ Certificate of Express Mail
- ☒ One utility patent application containing text pages 1-13 and
  - ☒ 5 Sheets of drawings
- ☒ Copy of executed Declaration of Inventorship from parent application Serial No. 09/385,691
- ☒ Sequence listing printout, floppy diskette, and matching declaration
- ☒ A Preliminary Amendment
- ☒ Information Disclosure Statement and Form PTO-1449

2. Amendment

- ☒ Please enter the enclosed Preliminary Amendment before calculating the filing fee. It is understood that only amendments reducing the number of claims will be entered for this purpose.

3. Extension of Time

- ☒ Conditional Petition for Extension of Time: An Extension of Time is requested to provide for timely filing if required to establish copendency with the parent after all papers filed herewith have been considered.

4. U.S. Priority

- ☒ This application is a continuation of U.S. Application No. 09/385,691 filed August 30, 1999, which is incorporated herein in its entirety by reference.

## 5. Foreign Priority

- ☐ Priority of Application No. filed in on is claimed under 35 USC §119.
- ☐ A certified copy of this priority document is enclosed.

6. Prior Documents Still In Effect

- ☒ This application and parent application Serial No. 09/385,691 filed August 30, 1999 are assigned of record to CardioVasc, Inc., as recorded in the U.S. Patent and Trademark Office at Reels 010214 and 010890 and Frames 0307 and 0329 on 8/30/99 and 6/12/00, respectively.
- ☒ Power of Attorney in the above-identified prior application is to Larry W. Thrower, Reg. No. 47,994, and the other attorneys or agents identified therein.

## 7. Entity Status

- ☒ Applicant claims small entity status. See 37 CFR §1.27.

## 8. Fees

The filing fee has been calculated taking into account any amendments in section 2 above:

| For:  | (Col. 1)<br>No.<br>Filed | (Col. 2)<br>No.<br>Extra | Small Entity |          | Other Than a Small Entity |           |          |
|---|--------------------------|--------------------------|--------------|----------|---------------------------|-----------|----------|
|   |                          |                          | Rate         | Fee      |                           | Rate      | Fee      |
| Basic Fee   |                          |                          |              | \$355.00 | or                        |           | \$710.00 |
| Total Claims  | 3 - 20                   | 0                        | x \$ 9 =     | \$       | or                        | x \$ 18 = | \$       |
| Independent Claims  | 1 - 3                    | 0                        | x \$40 =     | \$       | or                        | x \$ 80 = | \$       |
| <input type="checkbox"/> Multiple Dependent Claim Presented         |                          |                          | + \$135 =    | \$       | or                        | + \$270 = | \$       |
| *If the difference in Col. 1 is less than zero, enter "0" in Col. 2 |                          |                          | TOTAL        | \$355.00 | or                        | TOTAL     | \$       |

- ☒ Please charge the Filing Fee and any other fees necessary for timely filing of this application to Deposit Account 50-0665.

Respectfully submitted,

Harry Th

Larry W. Thrower  
Registration No. 47,994

Date: 8-22-01

## Correspondence Address:

Customer No. 22918  
Phone: 650 838-4300

Attorney Docket No. 52200-8006.US01

Patent

**Transmittal of Continuation Patent Application for Filing**

*Certification Under 37 C.F.R. §1.10 (if applicable)*

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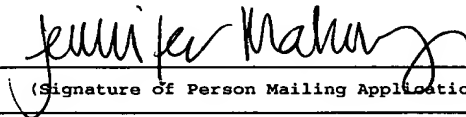
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Jennifer L. Mahoney

(Print Name of Person Mailing Application)



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